

Motivation.

Conj 1. Let M and N be closed ori. 3-mflds

Suppose \exists a degree-one map $f: M \rightarrow N$

Then $g(M) \geq g(N)$, where $g(M)$ is the Heeg. genus.

Conj 1 \Rightarrow Poincaré Conj. $M = S^3$

(Haken, Waldhausen) $f: M \rightarrow N = W_N \cup V_N$ ^{H.s.}
 \uparrow
 $G = \text{spine}$


after homotopy $f^{-1}(G) \cong G$

We can assume $V = f^{-1}(V_N) \cong V_N$


$$M = W \cup_T V$$


$$f(W) = W_N$$

$$\underline{f(V) = V_N} \quad f|_V \text{ is a homeo.}$$

Consider $f: W \rightarrow W_N$ 
 $f|_{\partial W}$ is a homeo. W_N

After homotopy $f^{-1}(D)$ is an incomp. surf. in W
 for any given ess. disk $D \subset W_N$

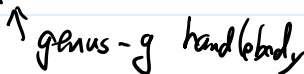
W $\partial W =$ genus g surf. 

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$g \wedge$ s.c.c. in $\partial W = T \quad Y_1, \dots, Y_g.$

non-sep.

s.t. each Y_i bounds an incomp surf. F_i

Fact. \exists a degree-one map $f: W \rightarrow H$ 
pinching each F_i to a disk.

Conj. 2. Let W & Y_i 's be as above. and $M = W \cup_T V$
(V does not need to be a handlebody)

Let N be obtained by replacing W w/ a handlebody H
s.t. each Y_i bounds a disk in H .

Then $g(M) \geq g(N)$

$g=1$, $T =$ torus $W =$ exterior of a knot in a
homology sphere

Thm Let $M = W \cup_T V$, where W is the exterior of a knot in
a homology sphere. Let $N = \hat{T} \cup_T V$ be obtained by
replacing W w/ a solid torus \hat{T} s.t. $\partial(\text{Seifert surf}) =$ meridian
then $g(M) \geq g(N)$

Cor. The tunnel # of a Satellite knot \geq tunnel # of its
pattern knot.



pattern knot.



tunnels
 \downarrow
 knot \cup arcs = graph G

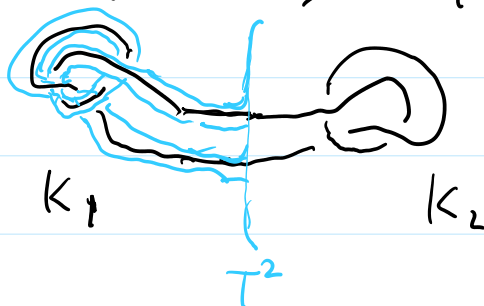


unknotting tunnels if $S^3 \setminus G$ is a handlebody

$\partial[N(G)]$ is a Heeg. surf. in $S^3 \setminus N(K)$

$$g(S^3 \setminus N(K)) = t(K) + 1$$

Cor (Schirmer 16) $t(K_1 \# K_2) \geq \max\{t(K_1), t(K_2)\}$

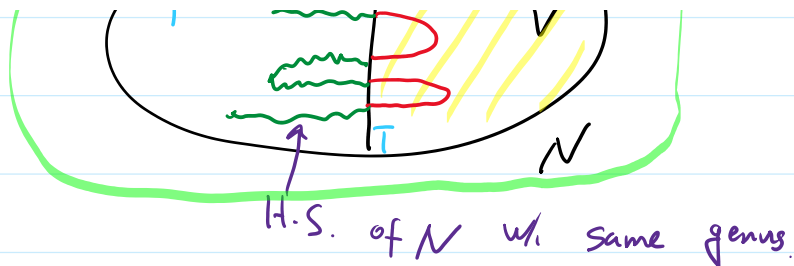


$$M = W \cup_T V$$



Need the Heeg. surf
 intersects W & V
 "nicely"





Fact. If T is incomp. and Σ is strongly irreducible.
then $\Sigma \cap W$ and $\Sigma \cap V$ are "nice" after isotopy

Def. A H.S. $M = H_1 \cup_{\Sigma} H_2$ is reducible if \exists an ess.
simple closed curve in Σ that bounds disks in both H_1 ,
 H_2 , $(S^2 \cap \Sigma = \text{a circle})$

Lemma (Haken) If M is reducible, then every H.S. of M
is reducible

Def. A H.S. $M = H_1 \cup_{\Sigma} H_2$ is weakly reducible if \exists ess.
disks $D_1 \subset H_1$, $D_2 \subset H_2$ s.t. $D_1 \cap D_2 = \emptyset$

If not weakly reducible, then it is strongly irred



Thm (Casson - Gordon) If M is non-Haken, then
irred \Leftrightarrow strongly irred.

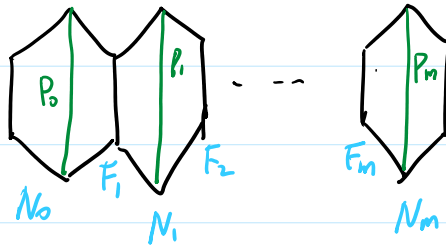


compress
incomp. surf.

(Untelescoping)

Scharlemann - Thompson: for \forall irred. Heeg. splitting

\exists a decomp. of $M = N_0 \cup_{F_1} N_1 \cup_{F_2} \dots \cup_{F_m} N_m$ along incomp.



surfaces F_1, \dots, F_m , Each N_i has a strongly irred
H. S. P_i

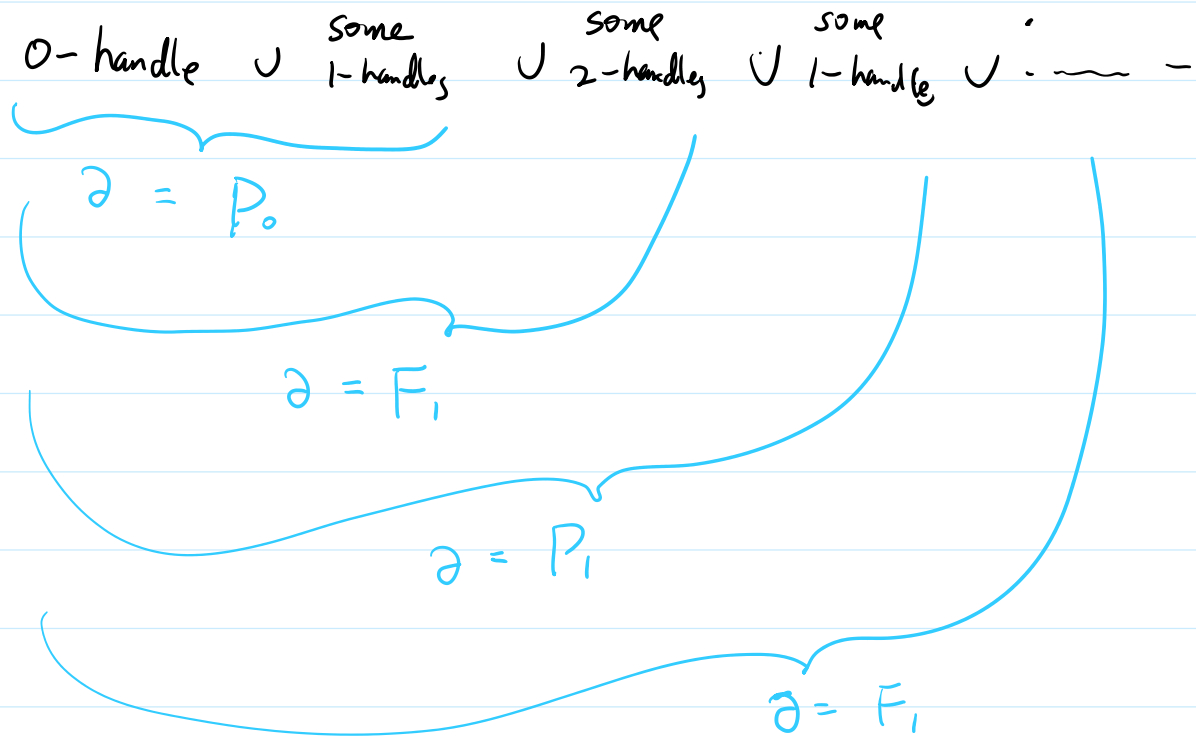
Generalized Heeg. splitting.

For any H. S. $M = H_0 \cup_{\Sigma} H_2$

$$\begin{aligned} \Sigma &= \partial (\{0\text{-handles}\} \cup \{1\text{-handles}\}) \\ &= \partial (\{2\text{-handles}\} \cup \{3\text{-handles}\}) \end{aligned}$$

Rearrange these handles.

$$0\text{-handle} \cup \text{some } 1\text{-handles} \cup \text{some } 2\text{-handles} \cup \text{some } 1\text{-handle} \cup \dots$$



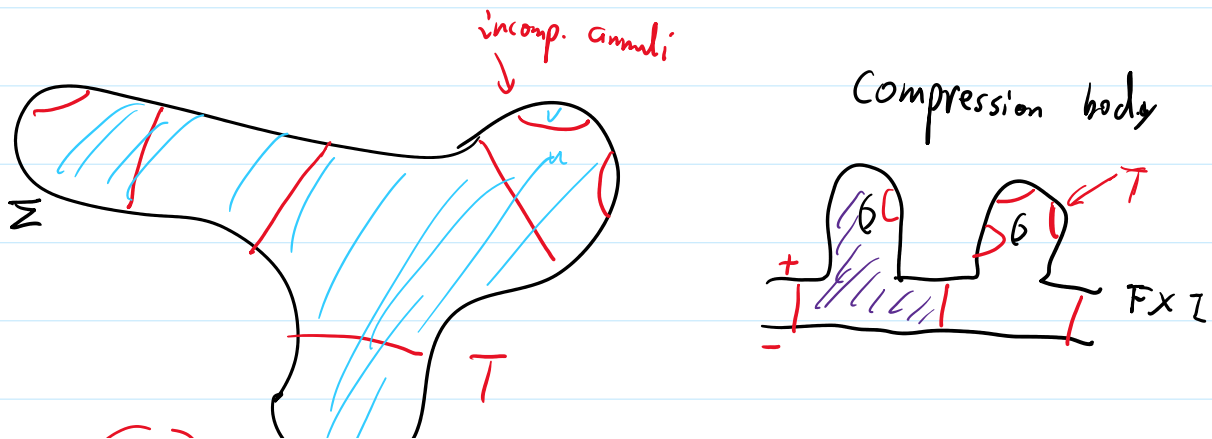
Lemma (Bachman - Schleimar - Sedgwick)

Let $M = W \cup_T V$ where T is an incomp. torus

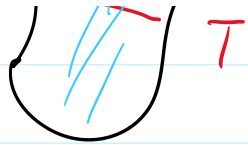
Let Σ be the collection of incomp. & strongly irred. surfaces in the untelescoping. Then either

- 1) T is a component of some F_i or
- 2) each component of $\Sigma \cap W$ and $\Sigma \cap V$ is either incomp. or strongly irred. in W, V resp.

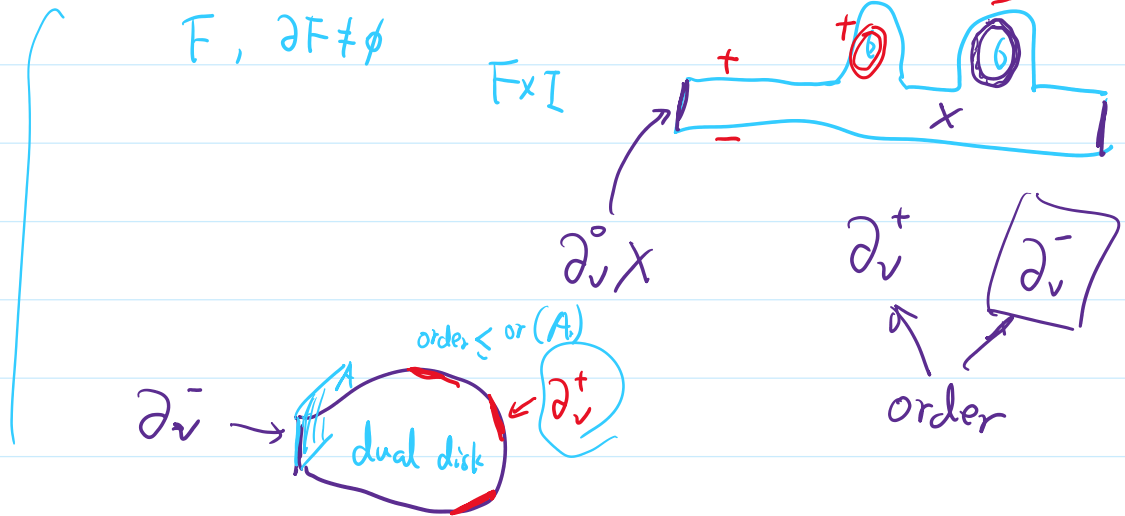
Σ is str. irred



W



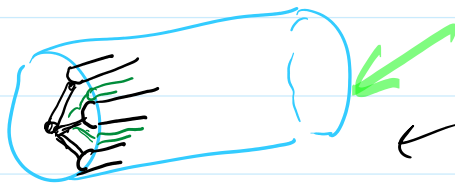
each piece is a relative compression body



each annulus in $\partial_v^- X$ has a dual disk

\hat{T}

Two cases i) each component in $\Sigma \cap W$ is separating.



ii) A component of $\Sigma \cap W$ is non-sep.

